



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

RQ-2

September 4, 2015

CATHERINE STRAUB, TREASURER
LISA MURKOWSKI FOR US SENATE
PO BOX 100847
ANCHORAGE, AK 99510

Response Due Date

10/09/2015

IDENTIFICATION NUMBER: C00384529

REFERENCE: JULY QUARTERLY REPORT (04/01/2015 - 06/30/2015)

Dear Treasurer:

This letter is prompted by the Commission's preliminary review of the report referenced above. This notice requests information essential to full public disclosure of your federal election campaign finances. **An adequate response must be received at the Senate Public Records Office by the response date noted above. Failure to adequately respond by the response date noted above could result in an audit or enforcement action.** Additional information is needed for the following 5 item(s):

1. The beginning cash balance of this report does not equal the ending balance of your Amended 2015 April Quarterly Report, received 7/31/15. Please correct this discrepancy and amend all subsequent reports that may be affected by the correction. (52 U.S.C. § 30104(b)(1) (formerly 2 U.S.C. § 434(b)(1)) and 11 CFR § 104.3(a)(1))
2. The totals listed on Line(s) 6(a), 6(c), 11(a)(iii), 11(e) and 16, Column B of the Summary and Detailed Summary Page(s) appear to be incorrect. Column B figures for the Summary and Detailed Summary Pages should equal the sum of the Column B figures on your previous report and the Column A figures on this report. Please file an amendment to your report to correct the Column B discrepancies for this report and all subsequent report(s) which may be affected by this correction. Note that Column B should reflect only the election cycle-to-date totals (11/3/10 through 11/8/16). (52 U.S.C. § 30104(b) (formerly 2 U.S.C. § 434(b)) and 11 CFR § 104.3)
3. Schedule A of your report discloses one or more contributions that appear to exceed the limits set forth in the Act (see attached).

An individual or a political committee other than an authorized committee or qualified multi-candidate committee may not make a contribution(s) to a

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candidate for federal office in excess of \$2,700 per election. An authorized committee may not make a contribution(s) to a candidate for federal office in excess of \$2,000 per election. A qualified multi-candidate committee and all affiliated committees may not make a contribution(s) to a candidate for federal office in excess of \$5,000 per election. AFOGNAK NATIVE CORP - ALUTHQ PAC did not meet the qualifications for multi-candidate status as of the date the contribution was made to your committee. The term "contribution" includes any gift, subscription, loan, advance, or deposit of money or anything of value made by any person for the purpose of influencing any election for federal office. (52 U.S.C. § 30116(a) and (f) (formerly 2 U.S.C. § 441a(a) and (f)); 11 CFR § 110.1(b), (e) and (k))

If any apparently excessive contribution in question was incompletely or incorrectly disclosed, you must amend your original report with the clarifying information. If any contribution you received exceeds the limits, you may have to refund the excessive amount.

Excessive contributions may be retained if, within 60 days of receipt, the excessive portions are properly redesignated or reattributed. Guidelines for each option are provided below.

For reattributions, excessive contributions from individuals can be retained if, within 60 days of receipt, the excessive amount is properly reattributed to another person. Please note that reattributions only apply to excessive contributions from individuals. An excessive contribution is considered properly reattributed if (1) the contributors provide the committee with written documentation, signed by each contributor, authorizing a reattribution and indicating the amount of the contribution to be attributed to each contributor; or (2) the committee reattributes by presumption the excessive portion of the contribution if the contribution was made on a written instrument from a joint account and was signed by only one of the account holders. In this case, the treasurer must notify the contributors in writing within 60 days of receiving the contribution that the committee intends to reattribute the excessive portion and must give the contributor an opportunity to request a refund. (11 CFR § 110.1(k)(3)(ii)(B))

For redesignations, the funds can be retained if, within 60 days of receipt, the excessive amount is properly redesignated for a different election. An excessive contribution is considered properly redesignated if (1) the committee obtains signed written documentation from the contributor(s) authorizing the

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redesignation of the contribution for another election, provided that the new designation does not exceed the limitations on contributions made with respect to that election; or (2) your committee redesignates by presumption the excessive portion of the contribution for another election provided that the new designation does not exceed the limitations on contributions made with respect to that election. In this case, the treasurer must notify the contributor of the redesignation in writing within 60 days of the treasurer's receipt of the contribution. The notification must give the contributor an opportunity to request a refund. (11 CFR § 110.1(b)(5)(ii)(B)) Please note that you cannot presumptively redesignate an excessive contribution from a multi-candidate committee. Also, a contribution can only be redesignated to a previous election to the extent that the contribution does not exceed the committee's net debts outstanding for that election. (11 CFR § 110.1(b)(3)(i))

If the foregoing conditions for reattributions or redesignations are not met within 60 days of receipt of the contribution, the excessive amount must be refunded. See 11 CFR § 103.3(b)(1).

If you have not already done so, please inform the Commission of your corrective action immediately in writing and provide photocopies of any refund checks and/or letters reattributing or redesignating the contributions in question. Refunds are reported on Line 20(a), (b), or (c), as applicable, of the Detailed Summary Page and on a supporting Schedule B of the report covering the period in which they are made. Redesignations and reattributions are reported as memo entries on Schedule A of the report covering the period in which the authorization for the redesignation and/or reattribution is received. (11 CFR § 104.8(d)(2), (3) and (4))

Although the Commission may take further legal action concerning the acceptance of excessive contributions, your prompt action to refund, redesignate, and/or reattribute the excessive amount will be taken into consideration.

4. Schedule A of your report discloses one or more contributions from an organization(s), which is not a political committee registered with the Commission (see attached). In order for your committee to accept contributions from unregistered organizations, your committee should take steps to ensure that the contributor(s) used permissible funds to make the contribution(s) to avoid violating 52 U.S.C. §§ 30116(f) and 30118 (formerly 2 U.S.C. §§ 441a(f) and 441b) or 11 CFR §102.5(b). Under 11 CFR §102.5(b), organizations which are not political committees under the Act and choose to contribute to federal

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committees must either: (1) establish a separate account which contains only those funds permitted under the Act, or (2) demonstrate through a reasonable accounting method that the organization has received sufficient funds subject to the limitations and prohibitions in order to make the contribution.

If any apparently prohibited contribution in question was incompletely or incorrectly disclosed, you should amend your original report with clarifying information. In addition, please clarify whether the contribution(s) received from the referenced organization(s) is permissible.

If you have received prohibited contributions, you must make a refund. (11 CFR § 103.3(b)(1)) The refund must be made within 30 days of the treasurer becoming aware of the illegality of the contribution. (11 CFR § 103.3(b)(2))

If you have not already done so, please inform the Commission of your corrective action immediately in writing and provide a photocopy of any refund checks. Refunds must be reported on a Schedule B supporting Line 20(b) of the report covering the period in which the refund was made. (11 CFR § 104.8(d)(4))

Although the Commission may take further legal action concerning the acceptance of prohibited contributions, your prompt action to refund the prohibited amount will be taken into consideration.

5. Schedule A, supporting Line 12 of your report discloses transfers from Winning Women 2016, that appear to be received through joint fundraising efforts. However, Winning Women 2016 is not disclosed as a joint fundraising representative on your Statement of Organization. Please amend your Statement of Organization to disclose the joint fundraising representative as an authorized committee of the candidate or amend your report to provide clarifying information. (11 CFR §102.2(b)(1)(i) and 11 CFR §102.17(b)(2))

Please note, you will not receive an additional notice from the Commission on this matter. Adequate responses must be received by the Commission on or before the due date noted above to be taken into consideration in determining whether audit action will be initiated. Failure to comply with the provisions of the Act may also result in an enforcement action against the committee. Any response submitted by your committee will be placed on the public record and will be considered by the Commission prior to taking enforcement action. **Requests for extensions of time in which to respond will not be considered.**

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A written response or an amendment to your original report(s) correcting the above problems should be filed with the Senate Public Records Office. Please contact the Senate Public Records Office at (202) 224-0322 for instructions on how and where to file an amendment. If you should have any questions regarding this matter or wish to verify the adequacy of your response, please contact me on our toll-free number (800) 424-9530 (at the prompt press 5 to reach the Reports Analysis Division) or my local number (202) 694-1154.

Sincerely,

A handwritten signature in black ink that reads "Jill Sugarman". The signature is written in a cursive, flowing style.

Jill Sugarman
Sr. Campaign Finance & Reviewing Analyst
Reports Analysis Division

Excessive, Prohibited, and Impermissible Contributions
Lisa Murkowski for US Senate (C00384529)

Excessive Contributions from Individuals

| Contributor Name | Date | Amount | Election |
|------------------------|---------|------------|----------|
| Bundrant, Joseph L | 2/9/15 | \$1,800.00 | G, 2016 |
| Bundrant, Joseph L | 6/25/15 | \$1,000.00 | G, 2016 |
| Burnett, Wallace David | 2/25/15 | \$2,300.00 | G, 2016 |
| Burnett, Wallace David | 4/22/15 | \$500.00 | G, 2016 |
| Lass, Conrad A | 3/21/14 | \$900.00 | G, 2016 |
| Lass, Conrad A | 6/26/15 | \$1,900.00 | G, 2016 |
| Minge, John | 11/4/12 | \$1,000.00 | G, 2016 |
| Minge, John | 6/23/15 | \$3,000.00 | G, 2016 |
| Souki, Charif | 2/25/14 | \$2,600.00 | P, 2016 |
| Souki, Charif | 4/1/15 | \$2,700.00 | P, 2016 |
| Teece, David | 4/8/15 | \$4,178.50 | P, 2016 |

Excessive Contributions from Committees

| Contributor Name | Date | Amount | Election |
|------------------|---------|------------|----------|
| Nossaman PAC | 6/22/15 | \$5,000.00 | P, 2016 |
| Nossaman PAC | 6/22/15 | \$5,000.00 | P, 2016 |

Excessive Contributions from Affiliated Committees

| Contributor Name | Date | Amount | Election |
|---|---------|------------|----------|
| AMO Voluntary PAC | 12/3/14 | \$2,500.00 | P, 2016 |
| American Maritime Officers Retirees PAC | 6/11/15 | \$2,000.00 | P, 2016 |
| American Maritime Officers Retirees PAC | 6/11/15 | \$2,000.00 | P, 2016 |
| Midamerican Energy Executive PAC | 2/13/12 | \$1,000.00 | P, 2016 |
| Midamerican Energy Executive PAC | 4/18/13 | \$2,500.00 | P, 2016 |
| Pacificoro/Midamerican Energy Executive PAC | 2/21/14 | \$1,500.00 | P, 2016 |
| Pacificorp - Pacific Power/Rocky Mountain Power PAC | 5/14/15 | \$3,000.00 | P, 2016 |

Excessive Contributions from Committees not Qualified for Multi-Candidate Status

| Contributor Name | Date | Amount | Election |
|-----------------------------------|---------|------------|----------|
| Afognak Native Corp - ALUTIIQ PAC | 6/22/15 | \$5,000.00 | P, 2016 |

Excessive, Prohibited, and Impermissible Contributions
Lisa Murkowski for US Senate (C00384529)

Contributions from Unregistered Organizations

| Contributor Name | Date | Amount | Election |
|-------------------------|-------------|---------------|-----------------|
| ASSEMI PAC | 4/20/15 | \$5,000.00 | P, 2016 |